



## Producer Establishment Inspection Checklist

(May be used as an inspection tool.)

Firm Inspected: \_\_\_\_\_

EPA Establishment Number: \_\_\_\_\_

Authorized Representative: \_\_\_\_\_

Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

Telephone Number: \_\_\_\_\_

Credentials Presented: \_\_\_\_\_

Notice of Inspection Issued: \_\_\_\_\_

Reason for Inspection: \_\_\_\_\_

Violation Suspected: \_\_\_\_\_

- Names, Titles, and Telephone Numbers of Principal Officers, Partners, Owners: \_\_\_\_\_

- Related Firms and Addresses of Said Companies: \_\_\_\_\_

### **PESTICIDE LABEL, LABELING, AND PACKAGING INSPECTION**

#### **Pesticides Packaged, Labeled, and Released For Shipment / Section 9(a)**

1. Brand Name(s): \_\_\_\_\_

2. EPA Registration Number(s): \_\_\_\_\_

3. Label and Labeling Review / 40 CFR Part 156: \_\_\_\_\_

#### **Child-Resistant Packaging Requirements for Each Pesticide Product Packaged, Labeled and Released For Shipment / 40 CFR Part 157**

1. Criteria Requiring Child-Resistant Packaging: \_\_\_\_\_

2. Unit Packaging: \_\_\_\_\_

### **RECORDS RETENTION REQUIREMENTS FOR PESTICIDE PRODUCTION**

#### **Records of Production of Pesticides / 40 CFR section 169.2(a)**

1. Brand Name: \_\_\_\_\_

2. EPA Registration Number / Experimental-Use Permit Number: \_\_\_\_\_

3. Amounts Produced per Batch: \_\_\_\_\_

4. Batch Identification: \_\_\_\_\_
5. Length of Retention of Records: \_\_\_\_\_

**Records of Production of Devices / 40 CFR section 169.2(b)**

1. Brand Name: \_\_\_\_\_
2. Quantities of Device(s) Produced: \_\_\_\_\_

**Records of Receipt of Pesticides and Active Ingredients / 40 CFR section 169.2(c)**

1. Brand Name of Pesticide, or Common or Chemical Name of Active Ingredient: \_\_\_\_\_  
\_\_\_\_\_
2. Name and Address of Shipper: \_\_\_\_\_
3. Name of Delivering Carrier: \_\_\_\_\_
4. Date Received by Establishment: \_\_\_\_\_
5. Quantities Received: \_\_\_\_\_
6. Retention of Records: \_\_\_\_\_

**Records of Shipment of Pesticides and Active Ingredients / 40 CFR section 169.2(d)**

1. Brand Name of Pesticide, or Common or Chemical Name of Active Ingredient: \_\_\_\_\_  
\_\_\_\_\_
2. Name and Address of Consignee: \_\_\_\_\_
3. Where the Pesticide Under a Section 5 Permit, Section 18 Exemption, or Section 24(c)  
Registration is/was Produced: \_\_\_\_\_
4. Name of Originating Carrier: \_\_\_\_\_
5. Date Shipped or Delivered for Shipment: \_\_\_\_\_
6. Quantities Shipped or Delivered for Shipment: \_\_\_\_\_
7. Retention of Records: \_\_\_\_\_

**Other Records / 40 CFR section 169.2**

1. Inventory Records: \_\_\_\_\_
2. Copies of All Domestic Advertising of The Restricted Uses of Any Pesticide Registered for  
Restricted Use: \_\_\_\_\_  
Retention of Records: \_\_\_\_\_
3. Copies of Guarantees Given Pursuant to Section 12(b)(1): \_\_\_\_\_

Retention of Records: \_\_\_\_\_

4. Records on The Method of Disposal, Dates of Disposal, Location of The Disposal Site(s), and The Types and Amounts of Pesticides or Active Ingredients Disposed of by the Producer/Contractor: \_\_\_\_\_
5. FIFRA Records: \_\_\_\_\_  
Retention of Records: \_\_\_\_\_
6. RCRA Records: \_\_\_\_\_

**Records Retention Requirements of Child Resistant Packaging / 40 CFR section 157.36**

1. Description of the Packaging for Each Registration: \_\_\_\_\_
2. Certification Statement for Each Registration: \_\_\_\_\_
3. Test Data Verification of Child Resistance for Each Registration: \_\_\_\_\_
4. Records Verifying That The Packaging Meets the Compatibility and Durability Standards: \_\_\_\_\_

**Records Requirements under the Pesticide Export Policy / 40 CFR Part 168, Subpart D**

1. Confidential Statement of Formula for Pesticides Not Registered Under FIFRA: \_\_\_\_\_
2. Specifications or Directions of the Foreign Purchaser: \_\_\_\_\_
3. Bilingual Labeling and Other Required Labeling: \_\_\_\_\_
4. Foreign Purchaser Acknowledgment Statements: \_\_\_\_\_
5. Establishment / Foreign Purchaser Contracts: \_\_\_\_\_

**RECORDS REPORTING REQUIREMENTS FOR REGISTRANTS/ PESTICIDE PRODUCING ESTABLISHMENTS**

1. Application for Amended Registration / 40 CFR section 152.44: \_\_\_\_\_
2. Modifications to Registration Not Requiring Amended Applications / 40 CFR section 152.46: \_\_\_\_\_
3. Currency of Address of Record and Authorized Agent / 40 CFR section 152.122: \_\_\_\_\_
4. Submission of Information Pertaining to Adverse Effects / Section 6(a)(2), 40 CFR section 152.125, and Part 153, Subpart D: \_\_\_\_\_
5. Supplemental Distribution / 40 CFR section 152.132: \_\_\_\_\_
6. Transfer of Registration(s) / 40 CFR section 152.135: \_\_\_\_\_
7. Initial Production Reports / Section 7(c) and 40 CFR Part 167: \_\_\_\_\_

8. Annual Production Reports / Section 7(c) and 40 CFR Part 167: \_\_\_\_\_
9. Notification of Stored Pesticides With Canceled or Suspended Registrations / Section 6(g):  
\_\_\_\_\_

### **POLICY ON BULK PESTICIDE REPACKAGING**

1. Bulk Repackaging / *Contract Bulk Repackaging Agreements*: \_\_\_\_\_
2. Complete End-Use Labeling / Bulk Containers: \_\_\_\_\_
3. Complete End-Use Labeling / Mini-Bulk Containers: \_\_\_\_\_

### **ADDITIONAL INFORMATION**

1. Private Labeling by a Contractor: \_\_\_\_\_
2. Private Labeling Under Contract for Another Registrant/Distributor: \_\_\_\_\_  
    \**Contract Manufacturing Agreements*: \_\_\_\_\_